

## Case Study - II

# Client Overview

A regulatory body planning to go for an IPO approached us for comprehensive compliance solutions.



## Scope of Compliance

▶ Managed Compliance for

**4** Companies  
Within the larger group

▶ Oversaw Operations Across

**120** Branch Offices

▶ Audited around

**125** Vendor

▶ Covered a Workforce of Over

**5,000** Employees

## Key Challenges



Decentralised compliance processes.



Lack of visibility into compliance status for top management across group companies



Difficulty in understanding compliance-levels of vendors & contractors



Vendors' lack of understanding compliance requirements

## Key Benefits Delivered



Comprehensive orientation for vendors to regulatory compliance



Conduct real-time vendors audits, across multiple locations



Generate comprehensive reports, highlighting gaps in vendor compliance



Provided a centralised dashboard at the group-level, company-level, and category-level compliances for all stakeholders.



Delivered comprehensive reports for proactive compliance management.

## Outcome

Enhanced compliance readiness, setting the foundation for successful IPO planning.

